

DT03 Rec'd PCT/PTO



P9

FORM PTO-1390  
(REV 12-2004)U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK  
OFFICE

ATTORNEY'S DOCKET NUMBER

LC-4792 PCT/US

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5.)

**10/524542**INTERNATIONAL APPLICATION NO.  
**PCT/US03/32537**INTERNATIONAL FILING DATE  
**15 OCTOBER 2003 (15.10.03)**PRIORITY DATE CLAIMED  
**23 OCTOBER 2002  
(23.10.02)****TITLE OF INVENTION****FAST MOISTURE CURING AND UV-MOISTURE  
DUAL CURING COMPOSITIONS****APPLICANT FOR DO/EO/US****CHU Hsien-Kun**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included.**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return receipt postcard.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5.)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

**10/524542****PCT/US03/32537****LC-479/PCT/US**

21. <input checked="" type="checkbox"/> The following fees are submitted:				Applicant Use	Office Use Only
<input checked="" type="checkbox"/> a) Basic national fee.....\$300.00				\$300.00	
<input checked="" type="checkbox"/> b) Examination fee.....\$200.00				\$200.00	
<input checked="" type="checkbox"/> c) Search fee.....\$500.00				\$500.00	
<b>TOTAL OF ABOVE CALCULATION.....\$1000.00</b>				\$1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper of 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$0	
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
24 - 100 =	/50 =		X \$250.00	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	20 - 20 =		X \$50.00	\$0	
Independent claims	3 - 3 =		X \$200.00	\$0	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$360.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,000.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ ---	
<b>SUBTOTAL =</b>				<b>\$1,000.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$ ---
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,000.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ ---
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,000.00</b>	
Amount to be refunded:					\$
Amount to be charged:					\$

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. **12-2135** in the amount of \$ 1,000.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to my Deposit Account No. **12-2135**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

STEVEN C. BAUMAN  
HENKEL CORPORATION  
1001 TROUT BROOK CROSSING  
ROCKY HILL, CT 06067 USA

SIGNATURE

NAME

STEVEN C. BAUMAN

REGISTRATION NUMBER

33,832

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
CHU, Hsien-Kun : Examiner: NYA  
Application No.: NYA : Group Art Unit: NYA  
Filed: Concurrently Herewith : Confirmation No.: NYA  
For: FAST MOISTURE CURING AND :  
UV-MOISTURE DUAL CURING :  
COMPOSITIONS : February 14, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTORNEY/APPLICANT CHANGE OF ADDRESS

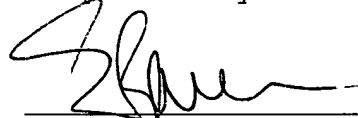
Sir:

Contrary to that which is requested in the Combined  
Declaration and Power of Attorney, please send all future  
correspondence for this application to the following address:

Steven C. Bauman  
HENKEL CORPORATION  
Legal Department  
1001 Trout Brook Crossing  
Rocky Hill, Connecticut 06067

Please direct all telephone calls and facsimile  
transmissions to the undersigned attorney at 860-571-5001 and  
860-571-5028, respectfully.

Respectfully submitted,



Steven C. Bauman  
Attorney for Applicant  
Registration No. 33,832

**TRANSMITTAL LETTER TO THE  
UNITED STATES RECEIVING OFFICE**


10/524542  
95 Rec'd PCT/PTO 14 FEB 2005

Date	October 15, 2003
International Application No.	
Attorney Docket No.	6740-48WO

**I. Certification under 37 CFR 1.10 (if applicable)**

EV 332041177 US	October 15, 2003
Express Mail mailing number	Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

	Elizabeth A. Dudek
Signature of person mailing correspondence	Typed or printed name of person mailing correspondence

**II. ☒ New International Application**

TITLE	FAST MOISTURE CURING AND UV-MOISTURE DUAL CURING COMPOSITIONS	Earliest priority date (Day/Month/Year)
		23 Oct. 2002

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.
- B. ☐ There is no prior U.S. application relating to this invention.
- C. ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

application no.	60/420,705	filed on	23/10/2002
application no.		filed on	

- D. ☐ The present international application contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages  and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

**III. ☐ A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:**

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D. ☐ Submission of Priority Documents

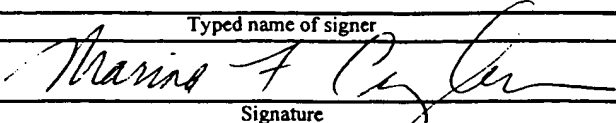
Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

**IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette**

**V. ☐ Other (please specify):**

The person signing this form is the:

<input type="checkbox"/> Applicant	Marina F. Cunningham
<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 38,419	Typed name of signer
<input type="checkbox"/> Common Representative	 Signature